

Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER P26511
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/519689 Not Yet Assigned	
INTERNATIONAL APPLICATION NO. PCT/BR2003/000089	INTERNATIONAL FILING DATE July 8, 2003	PRIORITY DATE CLAIMED July 8, 2002	
TITLE OF INVENTION GENETICALLY MODIFIED MICROORGANISMS, PLASMID AND FERMENTATION PROCESS WITH THE PRESENCE OF FLOCCULATION REGULATED BY MEDIUM CHANGES			
APPLICANT(S) FOR DO/EO/US Marcos Gomes DE SOUZA and Haroldo Alves PEREIRA Junior			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (23) indicated below. <input checked="" type="checkbox"/> The US has been elected (PCT Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d) (4). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). "UNEXECUTED" <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 16 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> Assignee: <u>SALIMBAR S.A. of Montevideo, Uruguay, Marcos Gomes DE SOUZA of Assis, Sao Paulo, Brazil and Haroldo Alves PEREIRA Jr. of Preto, Sao Paulo, Brazil</u> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A power of attorney and/or change of address letter. <input type="checkbox"/> Figure of Drawing to be published: <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154 (d) (4). <input type="checkbox"/> A second copy of the English language translation of the international of the international application under 35 U.S.C. 154 (d) (4). <input checked="" type="checkbox"/> Other items or information: Cover Letter Filed Under 35 USC 371 & 37 CFR 1.495. Copy of International Application as published- 1 cover page with 74 pages of the specification. PCT/ISA/210; International Search Report; PCT/RO/101-PCT Request; PCT/IB/308; PCT/IPEA/408; Claim of Priority 			

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/519689 <small>Not Yet Assigned</small>		INTERNATIONAL APPLICATION NO. PCT/BR2003/000089		ATTORNEY'S DOCKET NUMBER P26511	
23 <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Basic National Stage Fee		\$300.00	\$ 300.00		
National Stage Application Size Fee – for each additional 50 sheets that exceeds 100 sheets		\$250.00	0.00		
National Stage Search Fee		\$500.00	500.00		
National Stage Examination Fee		\$200.00	200.00		
Claims	Number Filed	Number Extra	Rate		
Total Claims	8 - 20 =	0	x \$50.00	\$ 0.00	
Independent Claims	3 - 3 =	0	x \$200.00	\$ 0.00	
Multiple Dependent Claim(s) (if applicable)		+ \$360.00	\$ 0.00		
TOTAL OF ABOVE CALCULATIONS =				\$1,000.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$ 500.00	
SUBTOTAL =				\$ 500.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$ 0.00	
Extension of Time fee in the amount of \$				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 500.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 500.00	
				Amount to be refunded	\$
				Charged	\$

a. ☒ A check in the amount of \$ 500.00 to cover the above fees is included.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.

c. ☒ The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

e. ☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)).

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055
AT THE PRESENT ADDRESS OF:

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Leslie J. Paperner
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Leslie J. Paperner
SIGNATURE

Bruce H. Bernstein
NAME

29,027
REGISTRATION NUMBER

P26511.P02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marcos Gomes DE SOUZA et al.

Mail Stop PCTAppl. No : Not Yet Assigned
(U.S. National Phase of PCT/BR2003/000089)

I.A. Filed: July 8, 2003

For : GENETICALLY MODIFIED MICROORGANISMS, PLASMID AND FERMENTATION
PROCESS WITH THE PRESENCE OF FLOCCULATION REGULATED BY
MEDIUM CHANGES**COVER LETTER ACCOMPANYING U.S. NATIONAL STAGE PATENT
APPLICATION FILED UNDER 35 U.S.C. 371 AND 37 C.F.R. 1.495****Mail Stop PCT**Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Enclosed is a new National Stage patent application for filing in the U.S. Patent and Trademark Office under 35 U.S.C. 371 and 37 C.F.R. 1.495. The Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney, will be filed within the period of time set in a Notification to be mailed by the United States Patent and Trademark Office.

Related to the above, this application should be associated with Customer Number 7055, which includes the present correspondence address as follows:

GREENBLUM & BERNSTEIN, P.L.C.
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Reston, VA 20191

10/519689

DT15 Rec'd PCT/PTO 10 JAN 2005

P26511.P02

If there are any questions pertaining to this National Stage Application, please contact the undersigned.

Respectfully submitted,
Marcos Gomes DE SOUZA et al.



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January 10, 2005
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marcos Gomes DE SOUZA et al.

Appl. No: : Not Yet Assigned PCT Branch

Filed : Concurrently Herewith PCT/BR2003/000089

For : GENETICALLY MODIFIED MICROORGANISMS, PLASMID AND
FERMENTATION PROCESS WITH THE PRESENCE OF FLOCCULATION
REGULATED BY MEDIUM CHANGES


CLAIM OF PRIORITY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby claims the right of priority granted pursuant to 35 U.S.C. 119 and 365 based upon Brazilian Application No. PI 0203754-8, filed July 8, 2002. The International Bureau already should have sent a certified copy of the Brazilian application to the United States designated office. If the certified copy has not arrived, please contact the undersigned.

Respectfully submitted,
Marcos Gomes DE SOUZA et al.


Bruce H. Bernstein
Reg. No. 29,027
Leslie J. Paperner
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January 10, 2005
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